

## Financial Services

### Banks & Thrifts

#### Team Members:

James M. Schutz	JS	Matthew Kelley	MK
John Schutz		Mike I. Shafir	MS
Adam Barkstrom, CFA	AB	Matthew Breese	
William Griffin, CFA		Sean Ryan	SR
Edward D. Timmons	ET		

<u>Company Name</u>	<u>Ticker</u>	<u>Analyst</u>	<u>Company Name</u>	<u>Ticker</u>	<u>Analyst</u>
Abington Community Bancorp, Inc. (MHC)	ABBC	MS	First State Bancorporation	FSNM	JS
Ameris Bancorp	ABCB	JS	Flushing Financial Corp.	FFIC	MK
Astoria Financial Corp.	AF	MK	Hancock Holding Company	HBHC	JS
Atlantic Coast Federal Corporation (MHC)	ACFC	MS	Hanmi Financial Corp.	HAFC	SR
Bancorp, Inc. (The)	TBBK	MK	Hudson City Bancorp, Inc.	HCBK	MK
BancorpSouth, Inc.	BXS	JS	IBERIABANK Corporation	IBKC	JS
BancTrust Financial Group, Inc.	BTFC	JS	Investors Bancorp, Inc.	ISBC	MK
Bank Mutual Corp.	BKMU	MS	KeyCorp	KEY	SR
BankAtlantic Bancorp, Inc.	BBX	JS	Legacy Bancorp, Inc.	LEGC	MS
BB&T Corp.	BBT	AB	Nara Bancorp, Inc.	NARA	SR
Beneficial Mutual Bancorp	BNCL	MS	National City Corp.	NC	SR
Berkshire Hills Bancorp, Inc.	BHLB	MS	National Penn Bancshares, Inc.	NPBC	MS
Brookline Bancorp, Inc.	BRKL	MK	New York Community Bancorp, Inc.	NYB	MK
Cadence Financial Corp.	CADE	JS	NewAlliance Bancshares, Inc.	NAL	MK
Cape Bancorp, Inc.	CBNJ	MS	Northwest Bancorp	NWSB	MS
Capital City Bank Group, Inc.	CCBG	JS	OceanFirst Financial Corp.	OCFC	MK
Capitol Federal Financial	CFFN	MS	Oriental Financial Group, Inc.	OFG	AB
City National Corp.	CYN	ET	Oritani Financial Corp. (MHC)	ORIT	MS
Colonial BancGroup, Inc.	CNB	AB	People's Bank (MHC)	PBCT	MK
Comerica, Inc.	CMA	SR	Popular, Inc.	BPOP	AB
Danvers Bancorp, Inc.	DNBK	MS	Prosperity Bancshares, Inc.	PRSP	ET
Dime Community Bancshares, Inc.	DCOM	MK	Provident Financial Services	PFS	MK
Doral Financial Corp.	DRL	AB	Provident New York Bancorp	PBNY	MK
ESSA Bancorp, Inc.	ESSA	MS	Renasant Corporation	RNST	JS
EuroBancshares, Inc.	EUBK	AB	Savannah Bancorp, Inc.	SAVB	JS
Fidelity Southern Corp.	LION	JS	Seacoast Banking Corporation of Florida	SBCF	JS
First Bancorp	FBP	AB	Signature Bank	SBNY	MS
First Horizon National Corporation	FHN	AB	Sovereign Bancorp, Inc.	SOV	MK
First M & F Corporation	FMFC	JS	Sterling Bancshares, Inc.	SBIB	ET
First Niagara Financial Corp.	FNFG	MK	Sun Bancorp, Inc.	SNBC	MK
First Security Group, Inc.	FSGI	JS	SunTrust Banks, Inc.	STI	AB

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Superior Bancorp	SUPR	JS
Synovus Financial Group	SNV	AB
Tennessee Commerce Bancorp, Inc.	TNCC	JS
Texas Capital Bancshares, Inc.	TCBI	ET
TCF Financial Corp.	TCB	SR
TFS Financial Corp.	TFSL	MS
The South Financial Group, Inc.	TSFG	AB
Trustmark Corporation	TRMK	JS
UMB Financial Corporation	UMBF	JS
United Community Banks, Inc.	UCBI	AB
United Financial Bancorp, Inc.	UBNK	MS
ViewPoint Financial Group	VPFG	MS
Waterstone Financial, Inc. (MHC)	WSBF	MS
WestAmerica Bancorp	WABC	ET
Westfield Financial, Inc.	WFD	MS
Whitney Holding Co.	WTNY	AB
Wilshire Bancorp, Inc.	WIBC	SR
Zion Bancorporation	ZION	ET

**Insurance****Team Members:**

John J. Nadel  
Jason Weyeneth, CFA

<u>Company Name</u>	<u>Ticker</u>
Aflac, Inc.	AFL
Ameriprise Financial, Inc.	AMP
Assurant, Inc.	AIZ
Hartford Financial Services Grp.	HIG
Lincoln Financial	LNC
MetLife, Inc.	MET
Principal Financial Group, Inc.	PFG
Prudential Financial, Inc.	PRU
Reinsurance Group of America	RGA
StanCorp Financial Group, Inc.	SFG

**Investment Banks & Exchanges****Team Members:**

Ada Lee

<u>Company Name</u>	<u>Ticker</u>
Cowen Group, Inc.	COWN
Goldman Sachs, Inc.	GS
Greenhill & Co., Inc.	GHL
Jefferies Group, Inc.	JEF
KBW, Inc.	KBW
Lazard, Ltd.	LAZ
Morgan Stanley	MS
optionsXpress Holdings, Inc.	OXPS
Penson Worldwide, Inc.	PNSN
Piper Jaffray Companies	PJC
Rodman & Renshaw Capital Group	RODM
Thomas Weisel Partners Grp.	TWPG
TradeStation Group, Inc.	TRAD

**REITs****Team Members:**

James Ackor

<u>Company Name</u>	<u>Ticker</u>
Annaly Capital Management	NLY
Anworth Mortgage Asset Corp.	ANH
Capstead Mortgage Investments, Inc.	CMO
MFA Mortgage Investments, Inc.	MFA

**Specialty Lending****Team Members:**

Henry J. Coffey, Jr.

<u>Company Name</u>	<u>Ticker</u>
Cash America International, Inc.	CSH
Compass Diversified Holdings	CODI
Dollar Financial Corp.	DLLR
EZCORP, Inc.	EZPW
First Cash Financial Services, Inc.	FCFS
Hercules Tech. Growth Capital, Inc.	HTGC
Prospect Capital Corporation	PSEC
Triangle Capital Corporation	TCAP
World Acceptance Corporation	WRLD